

# Helen Simm

Partner

 **Manchester**

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Helen is a regulatory lawyer specialising in financial services regulation and financial crime. She represents corporates and individuals at all stages of regulatory investigation and enforcement. Helen has particular expertise in consumer credit. She advises on compliance and due diligence in relation to AML, sanctions and fraud.

Helen has spent her career representing clients being investigated and prosecuted by the Serious Fraud Office (SFO), Financial Conduct Authority (FCA), Crown Prosecution Service (CPS), Health and Safety Executive (HSE) and Environment Agency (EA). In particular, Helen is instructed on matters related to bribery, money laundering and fraud. She is experienced in investigations with a cross-border element. Helen undertakes internal investigations and advises boards on next steps, including voluntary self-reporting.

Alongside advising financial services providers on compliance and risk, Helen advises businesses from all sectors (including retail, health and education) on the regulatory framework surrounding consumer credit including matters such as the regulatory perimeter, the relevance of exemptions, and the process of seeking FCA approval/becoming an Appointed Representative. She regularly prepares applications for change in control.

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## Expertise

Energy and infrastructure

Financial services

Government

Insurance

Manufacturing and industrials

Retail, consumer and logistics

Technology

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# Featured experience

## Change in control

Advising on change in control requirements arising from multiple acquisitions and in preparation for listing.

## Cum-ex fraud

Representing an individual within the investigation by Danish police into the international cum-ex tax dividend fraud.

## Financial crime

Undertaking an internal investigation after a whistleblower reported allegations of bribery, fraud and theft.

## Consumer credit

Advising a services business on discovery of credit arrangements inadvertently offered to SMEs within the scope of consumer credit regulations.

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# Testimonials

*"Helen Simm is excellent – she is good with clients and knows the practice area well."*

**Legal 500 2023,**

*"Helen Simm is a delight to work for: attentive to her clients and supportive of her counsel."*

**Legal 500 2023 ,**

*"Very good team of which Helen Simm is a key player. She is an excellent lawyer who combines great skill with fantastic client care skills."*

**Legal 500 2023 ,**