

# Supporting universities to meet their financial duties

22 January 2025

*This webinar took place on 22 January 2025 and is available on-demand.*

Many universities are regulated by the Financial Conduct Authority (FCA), or have arrangements with third parties to cover regulation, in respect of various financial services (not least consumer credit). You can check your institution's position on the FCA register.

## What does FCA regulation mean for universities?

FCA regulation is in addition to the OfS Regulatory Framework. Issues of compliance or non-compliance with FCA requirements can have ramifications for institutions and for their individual senior personnel, especially – but not exclusively – those individuals named on the FCA Register.

In particular, the FCA's statutory powers, especially where any rule breaches might be in issue, could affect any individuals who have (or may want to have) a senior role in the financial services industry – whether such a role would be within a university, a bank, an insurer or otherwise.

## Who should attend?

This webinar is of particular interest to university finance directors, legal practitioners, accountable officers and personnel registered with the FCA.

## What we covered

During the session, we explained:

- When is FCA registration is required?
- What are the key practical implications for universities that are regulated or may be considering closer collaboration with a regulated provider?
- What are the key compliance obligations of the university and the individual officers, especially where the institution faces financial difficulties?
- What events need reporting to the FCA?
- How does this compare to OfS reporting requirements?
- What are the potential consequences for getting it wrong – for the institutions and for the named individuals?

Join our team of experts to learn more about the potential effects of financial services regulations in relation to universities' financial strategies and performance.

**Watch on demand** →

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