

# Shared Insights: CQC enforcement and prosecution in the health and care sector

12 November 2024

This session focused on insights from our extensive experience of supporting health and care providers with CQC registration issues, enforcement action and prosecution.

We looked at the offences and thresholds for CQC prosecution and how to prepare for CQC investigations including responding to CQC information requests as well as avoiding urgent enforcement action and prosecution.

In this session chaired by partner Carl May Smith we were delighted to be joined by guest speakers Kathryn Fearn, Director of Legal Services at Nottingham University Hospitals NHS Trust and Eleanor Sanderson, regulatory barrister at Mayfair Place Chambers. Eleanor shared the key risk indicators identified through her practice that would suggest a greater likelihood the CQC would decide to prosecute an offence. Kathryn shared her insights from her real world experience of CQC action from an in house perspective and practical strategies for managing investigations, enforcement and prosecution by the CQC.

Download the note [↓](#)

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## Key contacts

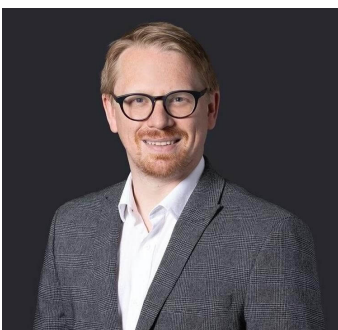


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